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PTO/SB/21 (08-00)

Please type a plus sign (+) in this box → ☐

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/408,114
	Filing Date	September 29, 1999
	First Named Inventor	HIRATA
	Group Art Unit	2816
	Examiner Name	Le, Dinh Thanh
Total Number Of Pages In This Submission	Attorney Docket No.	53535.20022.00

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OCT 22 2003
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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Charge Deposit Account	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Notification to Correct Error in Small Entity Status Under 37 CFR 1.28(c)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	David T. Yang Morrison & Foerster LLP 555 West Fifth Street, Suite 3500 Los Angeles, CA 90013
Signature	
Date	October 15, 2003

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA, 22313, on October 15, 2003.
 David T. Yang

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$)	44.00
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Complete if Known

Application Number	09/408,114
Filing Date	September 29, 1999
First Named Inventor	LINDER et al.
Examiner Name	D. Le
Art Unit	2816

Attorney Docket No.	53535.20022.00
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METHOD OF PAYMENT (check all that apply)

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Check	Credit Card	Money Order	Other	None

☒ Deposit Account

Deposit
Account
Number

03-1952

Deposit
Account
Name

Morrison & Foerster LLP

The Director is hereby authorized to: *(check all that apply)*

<input checked="" type="checkbox"/>	Charge fee(s) indicated below	<input checked="" type="checkbox"/>	Credit any overpayments
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☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)	(\$)	0.00
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

			Extra Claims		Fee from below		Fee Paid
Total Claims	0	-20=	0	x	0	=	0
Independent Claims	0	-3=	0	x		=	0
Multiple Dependent						=	

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$)	0.00
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****or number previously paid, if greater. For Reissues, see above**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge – late filing fee or oath	
1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be -examined (37CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)				Deficiency payment for large entity	44.00

*Reduced by Basic Filing Fee Paid	SUBTOTAL (3)	(\$)	44.00
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SUBMITTED BY

Name (Print/Type)	David T. Yang
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Registration No.
(Attorney/Agent)

44,415

Signature

Complete (if applicable)

Telephone (213) 892-5587

Date **October 15, 2003**

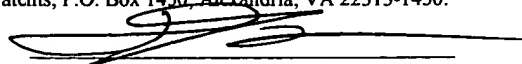


PATENT #8
Docket No. 53535.20022.00

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

Date of Deposit: October 15, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service as First Class Mail under 37 C.F.R. § 1.8 on the date indicated above and is addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


David T. Yang

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

HIRATA

Serial No.: 09/408,114

Filing Date: September 29, 1999

For: MONOLITHIC PAYLOAD IF SWITCH

Examiner: D. Le

Group Art Unit: 2816

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OFFICE OF PETITIONS

**NOTIFICATION TO CORRECT ERROR IN SMALL ENTITY STATUS UNDER
37 C.F.R. § 1.28(c)**

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. § 1.28(c), Applicants hereby notify the United States Patent and Trademark Office that this application was erroneously established as a small entity.

Applicants hereby state that status as a small entity was established in good faith, and the fees paid as a small entity were paid in good faith. However, it has been recently discovered that status as a small entity for this application was established in error.

Below is an itemization of the fees paid in error as a small entity. This itemization includes the type of fee, the current non-entity fee, the small entity fee paid, the date the small entity fee was paid, the deficiency owed and the date paid in full.

Adjustment date: 10/22/2003 SLUANG1
09/09/2003 M6EBRE1 00000030 031952 09408114
01 FC:2201 42.00 CR

la-686078

10/22/2003 SLUANG1 00000019 031952 09408114

01 FC:1201 86.00 DA


Type of Fee	Current Non-Small Entity Fee	Small Entity Fee Paid	Date Small Entity Fee Paid	Deficiency Owed	Date Defficiency Paid in Full
Extra claim fee	86.00	42.00	09/03/03	44.00	10/15/03

Please charge the total deficiency in the amount of \$44.00 to **Deposit Account No. 03-1952** referencing docket no. 535352002200.

If the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing docket no. 535352002200.

Respectfully submitted,

Dated: October 15, 2003


David T. Yang
Registration No. 44,415

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